

Image



10 627 244

TRANSMITTAL LETTER			Case No. 6270/107
Serial No. Unassigned	Filing Date July 24, 2003	Examiner Unassigned	Group Art Unit Unassigned
Inventor(s) Ransom et al.			
Title of Invention SYSTEM AND METHOD FOR IMPLEMENTING XML ON AN ENERGY MANAGEMENT DEVICE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement; Form PTO-1449; Copies of cited references A21-A89; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

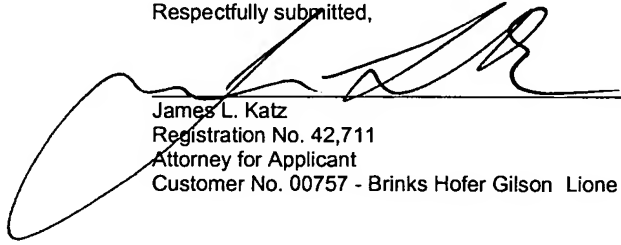
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

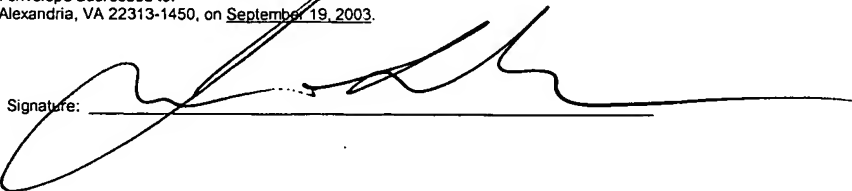
Respectfully submitted,


James L. Katz
Registration No. 42,711
Attorney for Applicant
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 19, 2003.

Date: 9/17/03

Signature: 



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 19, 2003

Date of Deposit

James L. Katz, (Reg. No. 42,711)

Name of Applicant, Assignee or
Registered Representative

Signature

9/19/03
Date of Signature

Our Case No.: 6270/107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ransom et al.

Serial No.: Unassigned

Filing Date: July 24, 2003

For: **SYSTEM AND METHOD FOR
IMPLEMENTING XML ON AN
ENERGY MANAGEMENT DEVICE**

Examiner: Unassigned

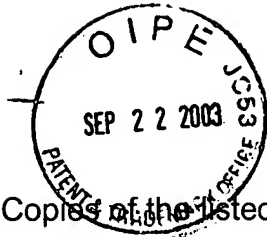
Group Art Unit No.: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

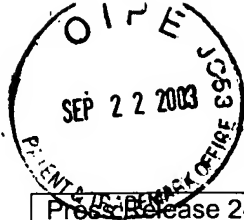
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and



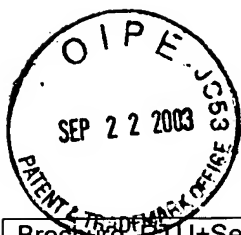
made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

DOCUMENT NUMBER	DATE	NAME
5,448,229	09/05/95	Lee, Jr.
5,459,459	10/17/95	Lee, Jr.
5,477,216	12/19/95	Lee, Jr. et al.
5,495,239	02/27/96	Ouellette
5,572,438	11/05/96	Ehlers et al.
5,699,276	12/16/97	Roos
5,764,155	06/09/98	Kertesz et al.
5,862,391	01/19/99	Salas et al.
5,897,607	04/27/99	Jenney et al
6,035,285	03/07/00	Schlect et al
6,088,659	07/11/00	Kelley et al
6,118,269	09/12/00	Davis
6,167,389	12/26/00	Davis et al
6,169,979	01/02/01	Johnson
6,327,541 B1	12/04/01	Pitchford et al.
2001/0010032	07/26/01	Ehlers et al.
2002/0077729	06/20/02	Anderson
2002/0091784	07/11/02	Baker et al.
2002/0116550 A1	08/22/02	Hansen
2002/0161536	10/31/02	Suh et al.
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.		
Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.		
Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.		
Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002		
Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.		
Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.		
Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.		
Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.		
Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.		
Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.		
A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.		
LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.		
Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.		
EnergyView™ "Energy Aggregation & Information System (EAIS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website http://66.64.38.69/energyview , pp. 1-4, 10/30/01.		
Power Monitoring home page, obtained from http://www.parijat.com/Power_Monitoring.htm , 10/09/02, one page,		



Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
Engage Networks Launches Energy Management Venture, obtained at internet address http://www.engagenet.com/content/business_journal.shtml , 09/20/00. Appeared in Business Journal Feb 11, 2000.
Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm
Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf on May 20, 2003.
Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf
David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
GE Industrial Systems "EnerVista.com" brochure, 09/2000.
Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address http://www.connectone.com/html/prlsep25_2000.htm .
Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address http://www.arcomcontrols.com/products/pcp/pcp10.htm . Document archived at http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm on May 30, 2000.
Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
Signature System™ Basics, obtained at internet address http://www.signaturesystem.com/sigbasics.html , 3/26/01. pp. 1-2.
Signature System™ InfoNodes, obtained at internet address http://www.signaturesystem.com/infonode.html , 3/26/01. pp. 1-2.
Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at http://www.questra.com/h1_products/Questa_A2Bplatform . Applicants believe this reference was published prior to January 9, 2003.
Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at http://www.questra.com/h1_products/Questa_A2Bsales . Applicants believe this reference was published prior to January 9, 2003.
Power Point Presentation: Presented at "Metering Europe 2000," September 5-7,2000, source http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip , pp. 1-37.



Brochure, RTU+Server, source http://www.energyict.com/fh/media/tru_plus_server.pdf , pp. 1-3, 4/6/01. Document archived at http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf Feb 7/2001. Link present on http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm on or before Feb 7/2001.
Brochure, EiServer, The Energy Information server, source http://www.energyict.com/fh/media/EiServer.pdf , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 st Quarter 2001, p. 1.
Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 st Quarter 2001, p. 1.
"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address http://www.electroindustries.com/feb5.html , Feb. 5, 2001 pp. 1-2.
Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address http://www.electroindustries.com/pdf/nxsbrochure.pdf pp. 1-14 printed 06/01/01.
NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp on July 19/2001 on July 19, 2001
EmWare® "Solutions Device Link," brochure, obtained at internet address http://www.emware.com/solutions/devicelink/ , pp. 1-3, printed 06/01/01. Document archived http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/ May 24, 2001.
iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address http://www.iready.com/ , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. http://web.archive.org/web/20010515202409/http://www.iready.com/ link on right side to "technology/index.html" captured March 15, 2001.
muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", http://www.munet.com , pp. 1-2, 12/15/99.
muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!": http://www.munet.com , pp. 1-2, 03/18/99.
webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.



Recent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt , 7/11/02, pp. 1&2
Distributed.Net, http://n0cgi.distributed.net/faq/cache/178.html , printed June/10/2003. Link archived at http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html on Sept 01, /2000.
Questa, Security overview, obtained http://www.questra.com/products/security.asp , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/TotalAccess.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda DRM System Overview, 2 pages, obtained http://www.axeda.com/solutions/overview.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda Access, 3 pages, obtained http://www.axeda.com/solutions/portals/portal_access.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/standards.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda Solutions for Industry, 1 page, obtained http://www.axeda.com/solutions/industries/industrial.html , printed June 9, 2003. Archived at http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html on Feb 21, 2002.
Axeda Agents, 2 pages, obtained http://www.axeda.com/solutions/device_servers/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained http://www.axeda.com/industries/casestudies_microprocessor.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants have attempted to provide the earliest known publication date for each reference, however, these documents may have existed prior to the stated date. For those references whose earliest publication dates could not be determined, Applicants believe they were published prior to January 9, 2003.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



James L. Katz
Registration No. 42,711
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 6270/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A2	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A3	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A4	5,495,239	02/27/96	Ouellette	340/870.02	08/02/94
	A5	5,572,438	11/05/96	Ehlers et al.	364/492	01/05/95
	A6	5,699,276	12/16/97	Roos	364/514	12/15/95
	A7	5,764,155	06/09/98	Kertesz et al.	340/825.08	04/03/94
	A8	5,862,391	01/19/99	Salas et al.	395/750.01	04/03/96
	A9	5,897,607	04/27/99	Jenney et al	702/62	02/28/97
	A10	6,035,285	03/07/00	Schlect et al	705/30	03/05/99
	A11	6,088,659	07/11/00	Kelley et al	702/62	05/21/98
	A12	6,118,269	09/12/00	Davis	324/110	04/15/97
	A13	6,167,389	12/26/00	Davis et al	705/412	12/04/97
	A14	6,169,979	01/02/01	Johnson	705/412	02/17/98
	A15	6,327,541 B1	12/04/01	Pitchford et al.	702/62	06/30/98
	A16	2001/0010032	07/26/01	Ehlers et al.	702/62	02/12/01
	A17	2002/0077729	06/20/02	Anderson	700/291	12/20/00
	A18	2002/0091784	07/11/02	Baker et al.	709/208	12/15/00
	A19	2002/0116550 A1	08/22/02	Hansen	709/330	04/17/02
	A20	2002/0161536	10/31/02	Suh et al.	702/62	04/13/01

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A21	Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A22	Tridium™ Wykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A23	Tridium™ Wykon™ Energy "Wykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A24	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A25	Tridium™ Wykon™ Building "Wykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	A26	Tridium™ Wykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	A27	Tridium™ Wykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 6270/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE July 24, 2003	GROUP ART UNIT Unassigned
APPLICANT(S): Ransom et al.		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A28	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	A29	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A30	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A31	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A32	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A33	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	A34	EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website http://66.64.38.69/energyview , pp. 1-4, 10/30/01.
	A35	Power Monitoring home page, obtained from http://www.parijat.com/Power_Monitoring.htm , 10/09/02, one page,
	A36	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
	A37	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
	A38	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
	A39	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
	A40	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
	A41	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
	A42	Engage Networks Launches Energy Management Venture, obtained at internet address http://www.engagenet.com/content/business_journal.shtml , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	A43	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm
	A44	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf on May 20, 2003.
	A45	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf
	A46	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEP 22 2003

FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 6270/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A47	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
	A48	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address http://www.connectone.com/html/prlsep25_2000.htm .
	A49	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address http://www.arcomcontrols.com/products/pcp/pcp10.htm . Document archived at http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm on May 30, 2000.
	A50	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
	A51	Signature System™ Basics, obtained at internet address http://www.signaturesystem.com/sigbasics.html , 3/26/01. pp. 1-2.
	A52	Signature System™ InfoNodes, obtained at internet address http://www.signaturesystem.com/infonode.html , 3/26/01. pp. 1-2.
	A53	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at http://www.questra.com/h1_products/Questa_A2Bplatform . Applicants believe this reference was published prior to January 9, 2003.
	A54	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at http://www.questra.com/h1_products/Questa_A2Bsales . Applicants believe this reference was published prior to January 9, 2003.
	A55	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7,2000, source http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip , pp. 1-37.
	A56	Brochure, RTU+Server, source http://www.energyict.com/fh/media/tru_plus_server.pdf , pp. 1-3, 4/6/01. Document archived at http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf Feb 7/2001. Link present on http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm on or before Feb 7/2001.
	A57	Brochure, EiServer, The Energy Information server, source http://www.energyict.com/fh/media/EiServer.pdf , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
	A58	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 st Quarter 2001, p. 1.
	A59	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 st Quarter 2001, p. 1.
	A60	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth [pml.com] sent 5/9/01 3:36pm, pp. 1-2.
	A61	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address http://www.electroindustries.com/feb5.html , Feb. 5, 2001 pp. 1-2.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEP 22 2003

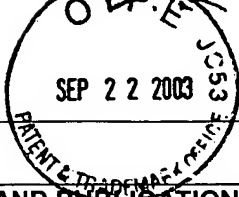
Page 4 of 5

FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 6270/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
A62	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address http://www.electroindustries.com/pdf/nxsbrochure.pdf pp. 1-14 printed 06/01/01.	
A63	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp on July 19/2001 on July 19, 2001	
A64	EmWare® "Solutions Device Link," brochure, obtained at internet address http://www.emware.com/solutions/devicelink/ , pp. 1-3, printed 06/01/01. Document archived http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/ May 24, 2001.	
A65	IReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address http://www.iready.com/ , p. 1, ©2000-2001 IReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. http://web.archive.org/web/20010515202409/http://www.iready.com/ link on right side to "technology/index.html" captured March 15, 2001.	
A66	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", http://www.munet.com , pp. 1-2, 12/15/99.	
A67	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" http://www.munet.com , pp. 1-2, 03/18/99.	
A68	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.	
A69	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.	
A70	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.	
A71	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.	
A72	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.	
A73	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.	
A74	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.	
A75	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. Unassigned	CASE NO. 6270/107
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 24, 2003	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A76	Lucent Technologies " AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	A77	Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt , 7/11/02, pp. 1&2
	A78	Distributed.Net, http://n0cgi.distributed.net/faq/cache/178.html , printed June/10/2003. Link archived at http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html on Sept 01, /2000.
	A79	Questa, Security overview, obtained http://www.questra.com/products/security.asp , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
	A80	Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A81	Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/TotalAccess.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A82	Axeda DRM System Overview, 2 pages, obtained http://www.axeda.com/solutions/overview.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A83	Axeda Access, 3 pages, obtained http://www.axeda.com/solutions/portals/portal_access.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A84	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A85	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A86	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/standards.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A87	Axeda Solutions for Industry, 1 page, obtained http://www.axeda.com/solutions/industries/industrial.html , printed June 9, 2003. Archived at http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html on Feb 21, 2002.
	A88	Axeda Agents, 2 pages, obtained http://www.axeda.com/solutions/device_servers/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A89	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained http://www.axeda.com/industries/casestudies_microprocessor.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.